

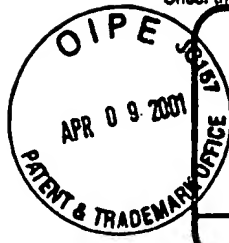
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Sheet 1 of 10

Complete if Known

Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER 201

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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ¹ (if known)			
[Signature]		5897620		WALKER ET AL	4/27/99	
		5270921		HORNICK	12/14/93	
		5890138		GODIN, ET AL	3/30/99	
		5835896		FISHER ET AL	11/10/98	
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		5715402		POPOLO	2/3/98	
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		6044363		MORI, ET AL	3/28/00	
		5850442		MUFNC	12/15/98	
		6000826		PARUNAK, ET AL	12/14/99	
		5826244		HUBERMAN	10/20/98	
		5950177		LUPIEN ET AL	9/7/99	
		5818914		FUTISAKI	10/6/98	
		5802502		GELL ET AL	9/1/98	
		5794219		BROWN	8/11/98	
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
[Signature]			031425201		TOYOTA CHIVUKO	12/7/93	(ABSTRACT)	
			08233918		HITACHI	3/24/98	"	
			08218344		FUJITSU GENERAL	3/6/98	"	
			07301101		FUJITSU GENERAL	6/6/97	"	
			07301096		FUJITSU GENERAL	6/6/97	"	
			08336375		NIPPON STEEL	6/30/97	"	
			08161412	N	OAK NET:KK	6/21/96	"	
[Signature]			07150696		NISSHO IWA CORP	1/10/97	"	
			07143458		FUJITSU GENERAL	12/24/96	"	
			092829321		KUJIRADA MASANOBU	3/30/99	"	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 10

Complete If Known

Application Number	09/767,126
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Filing Date	1/22/01
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First Named Inventor	DAMAN
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Group Art Unit	1651
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Examiner Name

Attorney Docket Number VOYAGER 201

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Case No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TI
		Office	Number	Kind Code (If Known)				
J 2 10			0048535		URATA KOICHI	8/6/99	(ABSTRACT)	
			07095659		FUJITSU GENERAL	11/5/96	"	
			07260511		FUJITSU GENERAL	4/15/97	"	
			06185624	/	FUJITSU GENERAL	2/20/96	"	
			06185625		FUJITSU GENERAL	2/20/96	"	
			06107215		FUJITSU GENERAL	12/18/95	"	
			06096557		FUJITSU GENERAL	11/14/95	"	
			05201617		NIPPON SHOKUBUTSU	3/17/95	"	
			05176900		FUJITSU KIDEN	2/7/95	"	
			05101664		FUJITSU GENERAL	11/8/94	"	

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Sheet	3	of	10
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Complete If Known

Application Number	09/767/126
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Filing Date	1/22/01
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First Named Inventor	DAMAN
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Group Art Unit	1651
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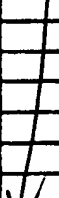
Examiner Name

Attorney Docket Number	VOYAGER 201
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	FIG.
		Office ²	Number ³ <small>(If known)</small>				
			05101663	FUJITSU GENERAL	11/8/94	(ABSTRACT)	
			04240580	SHINKO SEISAKUSHO	4/8/94	"	
			04195046	SHINKO SEISAKUSHO	2/18/94	"	
			04156381	SHINKO SEISAKUSHO	12/27/93	"	
			04156380	SHINKO SEISAKUSHO	12/27/93	"	
			04155572	AKADA DENKI SHOTI	12/7/93	"	
			04109540	SHINKO SEISAKUSHO	11/16/93	"	
			04109539	SHINKO SEISAKUSHO	11/16/93	"	
			04068372	SHINKO SEISAKUSHO	11/12/93	"	
8			04095479	SHINKO SEISAKUSHO	11/5/93	"	

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Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	

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Sheet	4	of	10
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Attorney Docket Number	VOYAGER 201
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Application Number	09/767,126
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Filing Date	1	22	01
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First Named Inventor	DAMAN
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Group Art Unit	1651
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Examiner Name

Attorney Docket Number	VOYAGER 201
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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"Using Auctions to Divest Generation Assets",
Electricity Journal, 10:10, 22-31 December 1997 -

AUSUBEL, ETAL, "Demand Reduction and Inefficiency in Multi-unit Auctions", Univ. of Md., 20 March 1998,

Ausubel, ET AL, " Vickrey Auctions with Reserve Pricing",
Univ of Md. 28 June 1999 -

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 6 of 10

Application Number 09/767,126
Filing Date 1/22/01
First Named Inventor DAMAN
Group Art Unit 1651
Examiner Name
Attorney Docket Number VOYAGER 201

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cito No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.
JF		KLEMPERER, "Auctions with Almost Common Values", Oxford Univ.
		KLEMPERER, "Auction Theory and its Applications to Economics"
		"The Internet and Economics", http://www.ex.ac.uk/R.Davies/arian/internet.html
		KLEMPERER, "Auction Theory: A Guide to the Literature"
		"Peter Cramton's Home Page", http://www.cramton.umd.edu/auction-papers.htm
		BULOW, ETAL "Prices and the Winners Curse"
		CRAMTON, "Ascending Auctions"
		FITZGIBBON, "Investors shun Salon.com Dutch Auction", Redherring.com
		"Continuous Dutch Auction Bid Format", IAS-Dutch Auction Format - http://cattleofferings.com/auction/dutch/dutchformat_text.htm
		"About the Klik-Klok Dutch Auction", Klik-Klok Online Dutch Auction http://www.klik-klok.com/how.htm
JF		BANATRE, ETAL, "The Design and Building of Enchere...", Computing Practices Jan 29, 1986, No 1, pp 19-29

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Sheet 7 of 10

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Application Number 09/767,126

Filing Date 11/22/01

First Named Inventor DAMAN

Group Art Unit 1651

Examiner Name

Attorney Docket Number VOYAGER 201

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<i>[Signature]</i>		"The Bobcad Dutch Auction"; http://www.vectorcam.com/dutchauc.htm
		"A Dutch Auction" - http://www.agonics.com/auctions/auction3.htm
		② tree overview - http://www.iew.unizh.ch/ztree/tipstricks.htm
		KUMAR ETAL, "IBM Inst for Adv. Commerce, Reports, Technical Papers" / http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.htm
		"ACE Market Operations Guide", Shottz & Assoc, Jan 3, 1996 /
		MARIMBA Customer Profile /
		MARIMBA - On Sale /
		MARIMBA - Press Release - "Internet Auction House ONSALE..." /
		MARIMBA - Press Release - "Marimba's Castanet Selected..." /
		YOUNG WORTH, "Internet Auction House Offers Personalized Approach to E-Business", e-Business Mag, 9/1998
		HATLESTAD, "Plumbers' Helpers", Red Herring Magazine, Nov 98 /
		"JOINT REPORT on the Government Securities Market", Jan 1992,
<i>[Signature]</i>		DIALOG(R) File 610: Business Wire 0489267 - BW 0022 /
		"ONSALE: brings thrill of Auctions..." May 24, 1995

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OTHER INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/767,126

Filing Date 1/22/01

First Named Inventor DAMAN

Group Art Unit 1651

Examiner Name

Attorney Docket Number

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J		DIALOG(R) File 609: KR/T Bus. News - 2096205; 5519 "California Computer Auctions No Boon for Bargain Hunters", Sept 13, 1993	
		DIALOG(R) File 16: PROMT(R) - 03482064 - "Car auction reaches into space", Automotive News, 11/25/91, p. 6	
		DIALOG(R) File 16: IAC PROMT(R) - 05649796 - "On sale joins fray as online shopping picks up speed", Computer Reseller News, 6/5/95, p. 73	
		DIALOG(R) File 148: IAC Trade and Industry Database, "Nowhere to go but up", PC Week, 10/23/95	
		"Dutch Auction Interest and Dividend Reset", http://risk.ifici.ch/00011235	
		"Dutch Auction", http://risk.ifici.ch/00011234.htm	
		"Dutch Auction Rate Transferable Securities (DARTS)", http://risk.ifici.ch/00011236	
V		"WestPoint Stevens Commences Dutch Auction Tender Offer for Up to 3,000,000 Shares of Common Stock", http://biz.yahoo.com/bw/991029/ga-westpoi-1.html	
J		"Continuous Dutch Auction Bid Format", http://cattieofferings.com/auction/dutch/dutchformat_text.html	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 of 10

Application Number 09/767,126

Filing Date 1/22/01

First Named Inventor DAMAN

Group Art Unit 1651

Examiner Name

Attorney Docket Number VOYAGER 201 TECH CENTER 1600/2900

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FITZGIBBON, "The fine bouquet of a Dutch auction", wysiwyg
<http://www.redherring.com/insider/1999/0402/inv-dutchauction.htm>

"Dutch Auction": <http://ai.wwed.com/auction/wwed/help/dutch-help.html>

"Dutch Auctions": <http://www.bidwerx.com/auctions-dutch.htm>

"Auction Types - Dutch": <http://www.agorics.com/auctions/auction3.html>

"Revenue Ruling Regarding the Dutch Auction Rate Issued": <http://www.unclefed.com/Tax-News/1990/Nr90-48.html>

BROWNLEE: "Salon IPO Bombs on 1st Day of Trading" <http://www.nypost.com/business/9661.htm>

"Bidders/Buyers FAQs": <http://www.goldsanction.com/html/buyerFAQ.html>

"Technical Papers - Business Negotiations on the Internet": <http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.html>

GONZALES
"Arrest of ships in the Netherlands Antilles and Aruba": <http://www.na-law.com/law/article2.htm>

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P105110311 (10-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **10** of **10**

Complete If Known

Application Number **09/767,126**

Filing Date **11/22/01**

First Named Inventor **DAMAN**

Group Art Unit **1651**

Examiner Name

Attorney Docket Number **VOYAGER 20**

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<i>[Signature]</i>		"Z-Tree: Tips and Tricks": http://www.iew.unizh.ch/ztree/tipstricks.htm
		"Dutch Sequential Auctions": http://www.jdawise.com/papers/finnmkt/dutchseq.htm
		"What is a Dutch Auction and how does it work": http://www.dmoz.org/Shopping/Auctions/Dutch_Auctions/desc.htm
		"Selling After the close of your Auction": http://www.liquidation.com/service/faq-sellers.html
		"BigTime Analyst Goes Internet; Hambrecht Goes Dutch Auction; Portals Go E-Commerce": http://www.portal-to-wallstreet.com/OPED/11A/9906jw.html
		"Knowledge Market™ Information - Auction Types": www.intelifact.com/auction/types.htm
		KUMAR: "Business Negotiations on the Internet": http://www.isoc.org/inet98/proceedings/36/36-3
		"Welcome to the Timeshare Auction": http://www.thetimeshareauction.com/newuser.shtml
		"Help for Buyers": http://www.gofish.com.au/members/ReviewingAListing.htm
		"Auction Formats": http://pages.com.ebay.com/aw/help/help-faq-format.html
		CONNOLLY: "Going Once, Going Twice": http://www.zdnet.com/products/stories/reviews/0,4161,2306286,00.htm
<i>[Signature]</i>		JUNNARKAR: "Plans auction site for second-hand goods": http://news.cnet.com/news/0-1007-200-1506830.html

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached

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